

2766

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

RECEIVED  
Docket No.  
CF-001PAT

In Re Application Of  
Neil R. Fraser

SEP -7 2000

TC 2700 MAIL ROOM

Serial No.  
09/590,329

Filing Date  
June 8, 2000

Examiner

Group Art Unit

Title: **METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO PERFORM OPERATION ON HOST COMPUTER**

#2

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**RECEIVED**  
CCF001PAT

In Re Application Of:

Neil R. Fraser

SEP -7 2000

TC 2700 MAIL ROOM

Serial No.

09/590,329

Filing Date

June 8, 2000

Examiner

Group Art Unit

Title: **METHOD AND SYSTEM FOR SECURELY DISPLAYING AND CONFIRMING REQUEST TO PERFORM OPERATION ON HOST COMPUTER**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on August 29, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Eric W. Guttag

Typed or Printed Name of Person Mailing Correspondence

**\*This certificate may only be used if paying by deposit account.**

*Eric W. Guttag*  
Signature

Dated: August 29, 2000

Eric W. Guttag  
Attorney for Applicant(s)  
Registration No. 28,853  
(513)-936-8534

CC:



RECEIVED

Attorney Docket No. CCF-001PAT  
SEP - 7 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TC 2700 MAIL ROOM

In the Application of :  
Neil R. Fraser :  
Serial No.: 09/590,329 : Group  
Filed: June 8, 2000 : Examiner  
METHOD AND SYSTEM FOR SECURELY :  
DISPLAYING AND CONFIRMING :  
REQUEST TO PERFORM OPERATION ON :  
HOST COMPUTER :


**INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.56, 1.97 AND 1.98 (WITHIN 3 MONTHS OF FILING OR PRIOR TO FIRST OFFICE ACTION)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, Applicant requests the Examiner to make of record the documents listed on the attached PTO-1449 forms. Copies of the listed documents are also enclosed. In accordance with MPEP 609, the Examiner is requested to initial each document listed on the attached PTO-1449 forms in the space provided, make a copy of the initialed forms, and return an initialed copy to Applicants' attorney. This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the filing dated of the above-identified application or prior to a first Office Action on the merits.

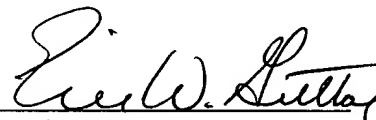
Respectfully submitted,  
For: Neil R. Fraser

By   
Eric W. Gutttag  
Attorney for Applicant(s)  
Registration No. 28,853  
(513) 936-8537

August 29, 2000  
Cincinnati, Ohio

**CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8**

I hereby certify that this correspondence is being deposited on August 29, 2000 with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Eric W. Gutttag  
Registration No. 28,853